# This Page Is Inserted by IFW Operations and is not a part of the Official Record

# **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

# IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: B01D 63/02, 61/18, B01L 3/00, G01N

(11) International Publication Number:

WO 96/17673

1/40

A1

GB

(43) International Publication Date:

13 June 1996 (13,06.96)

(21) International Application Number:

PCT/GB95/02834

(22) International Filing Date:

5 December 1995 (05.12,95)

(30) Priority Data:

ž

9424703.8

7 December 1994 (07.12.94)

MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TT, UA, UG, US, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE,

KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG,

(81) Designated States: AL, AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, HE, ES, FI, GB, GE, HU, IS, JP,

LS, MW, SD, SZ, UG).

NOLOGIES LIMITED [GB/GB]; 6 Dunrobin Court, North Avenue, Clydebank Business Park, Clydebank G81 2NT (GB).

(71) Applicant (for all designated States except US): FSM TECH-

(72) Inventor; and

(75) Inventor/Applicant (for US only): HOOD, Robert, Gordon [GB/GB]; "Koinonia", 3 Station Rise, Lochwinnoch PA12 4NA (GB).

(74) Agent: MURGITROYD & COMPANY; 373 Scotland Street Glasgow G5 8QA (GB).

#### Published

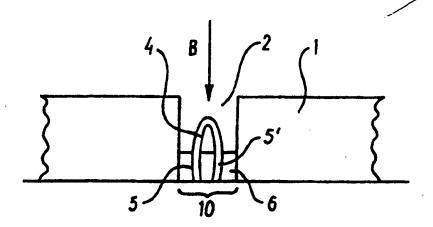
With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: MICRO-FILTRATION DEVICE

#### (57) Abstract

There is described a filter unit (10) which comprises a hollow fibre membrane fixed into a solid plug (6) and able to communicate with each side of the plug (6). Utilisation of the hollow ficre membrane enables a relatively large filtration area to be exposed to the sample, thus facilitating filtration. For example, the hollow fibre membranes may be in the shape of hoops (4), having their ends (5, 5') passing through the plug (6) and exposed on the far side thereof. The plug (6) is desirably formed from cured adhesive. The filter unit (10) may be located in each well (2) of a



c nventional filter tray (1) or may be located in the lumen of a filtration apparatus such as a pipette (11). Optionally the membrane may be treated or coated to react with a component of the sample.



#### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
		iR	keland	NZ	New Zealand
BG	Bulgaria	TT	haly	PL	Poland
BJ	Benin		-	PT	Portugal
BR	Brazil	JP	Japan Wanna	RO	Romania
BY	Belans	KE	Kenya	RU	Russian Federation
CA	Canada	KG	Kyrgystan		
CF	Central African Republic	KP	Democratic People's Republic	SD	Sudan
CG	Congo		of Korea	· SE	Sweden
CH	Switzerland	KR	Republic of Korea	SI	Slovenia
CI	Côte d'Ivoire	KZ	Kazakhstan	SK	Slovakia
CM	Cameroon	u	Liechtenstein	SN	Senegal
CN	China	LK	Sri Lanka	TO	Chad
CS	Czechoslovakia	LU	Luxembourg	TG	Togo
CZ	Czech Republic	LV	Latvia	Tj	Tajikistan
DE	Germany	MC	Monaco	TT	Trinidad and Tobago
DK	Denmark	MD	Republic of Moldova	UA	· Ukraine
ES	<del></del>	MG	Madagascar	US	United States of America
	Spain	ML	Mali	UZ	Uzbekistan
FI	Finland	MN	Mongolia	VN	Vict Nam
FR	France	MATIA	17100000000		
GA	Gabon		•		





WO 96/17673 PCT/GB95/02834

1 "Micro-filtration Device" 2 The present invention is concerned with the process of 3 filtration, particularly micro-filtration, and provides 4 5 a device capable of small volume filtration. 7 Filtration is a common separation technique of samples and is frequently used in both chemical and biochemical В 9 In particular, filtration is of utility for processes. biological samples where cell debris and other organic 10 materials need to be removed. For this reason, many 11 medical diagnostic assays require a first filtration 12 13 step. 14 Generally dead-end filtration is used, in which the 15 sample is induced to pass through the filter by a 16 pressure differential, a portion of the sample being 17 18 retained on the filter and the remaining part of the sample (the filtrate) passing through the filter and 19 20 being collected in a suitable chamber. 21 22 Filters may also be used as a convenient matrix on 23 which to present samples for assay purposes. 24 25 There is an increasing trend to use smaller volume



WO 96/17673 PCT/GB95/02834

2

samples for filtration and where this is the case it is usual to us a scaled-down filtration apparatus as appropriate.

4 5

6

7

8

9

10

11

12

13

14

15

16

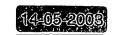
17

In such small volume filtration procedures, filtration trays or pipettes are generally used. Filtration trays consist of multiple open-ended wells positioned on top of a single sheet of filter paper. The area of each well therefore defines the area of filter available for the filtering operation as the surface area of the filter available for each filtration process is limited to the surface area of the membrane as exposed by the well. Each well can be used to filter a separate sample and the whole tray can therefore be used in multiple filtration operations. Typically, such filtration trays consist of 24, 48 or 96 separate wells, each well ending with the membrane as the lower surface.

18 19

Figure 1A illustrates a cross-section of a single well 20 in a portion of a conventional micro-titre tray adapted 21 for normal use for micro-filtration processes. 22 23 tray (1) contains numerous filter chambers (2) into which the sample(s) are placed for filtration. 24 . 25 to filtration a filter paper (3), which is essentially 26 flat, is fixed firmly to the exterior bottom surface of the filtration tray (1). Once the filter paper (3) is 27 28 in position the sample to be filtered is poured into the filter chamber (2). Optionally pressure in the 29 direction of arrow A is applied. The pressure forces 30 the sample through the filter paper (3) into a 31 collection chamber (not shown). It is clear from 32 Figure 1A that the surface area available for 33 filtration is limited to the cross-sectional area of 34 35 the filter chamber (2).

36



21

. 22

23

24

25

26

27

28

29

30

31

32

33

34

35

1 However, where the surface area of the filter is small 2 the filtration operation tends to suffer from the following disadvantages: 3 5 1. The process of filtration may take a long time as 6 the sample has to pass through a relatively small 7 surface area of filter. 8 9 2. If biological samples are to be filtered the 10 relatively small surface area of the filter is 11 highly prone to being completely clogged with cell 12 debris, fatty deposits or other impurities. 13 14 An alternative conventional filtration operation occurs 15 using a pipette, in which a planar filter is located 16 . within or on the tip of the pipette. The liquid sample 17 is taken up into the pipette by suction and is 18 filtered. A portion of the sample may be retained on 19 the filter whilst the filtrate is collected within the body of the pipette. Optionally, the tip of the pipette having the filter may be removable (and/or optionally disposable) so that the used filter (and any contaminant contained thereon) can be removed before expulsion of the filtrate. The filter may be treated or coated to react with or bind to a particular component of the sample. An example of a pipette tip having a treated membrane located thereon is the Nuclitips™ DNA extraction system of Amersham Life Sciences Ltd. In the Nuclitips" pipette tip a planar treated membrane is located on the exterior of the pipette tip totally covering the tip's aperture, so that the sample is filtered before entry into the pipette tip and any DNA present in the sample binds to the filter.

36 The present invention provides a filter unit comprising



WO 96/17673 PCT/GB95/02834

a hollow fibre membrane fixed into a solid plug. 1 2 Viewed from another aspect the pr sent invention 3 provides a membrane for a filter unit in which the 4 membrane has a greater filtration surface area than the 5 cross-sectional filtration area of the filter chamber. 6 7 Generally the membrane is essentially three-8 The membrane may have any convenient dimensional. 9 shape or configuration. 10 The term "cross-sectional filtration area" refers to 11 the area of a cross-section of the filter chamber over 12 which filtration occurs. Normally this would be the 13 14 area of the floor of the filter chamber or the internal diameter of a pipette lumen tip. It may be possible to 15 locate the filter part way along the length of the 16 filter chamber. If the walls of the filter chamber are 17 18 sloping (and therefore the cross-sectional area of the filter chamber varies) the "cross-sectional filtration 19 20 area" is the cross-sectional area of the filter chamber 21 at the point where the filter is located. 22 23 The membrane according to the present invention is fixed into a solid plug and the plug is adapted to form 24 25 a tight fit with the internal walls of the filter 26 chamber of interest. 27 28 It is important that part of the filter according to the invention communicates with the exterior sides of the plug so that the sample placed into the filter chamber (and optionally subjected to pressure to urge the sample across the filter) can be separated, the filtrate being collected in a collection chamber placed below the filtration apparatus. In one embodiment the filter of the present invention

29

30

31

32 33

34

35 36



15

16

17

18 19 20

21

22 23

24

25

26 27

28

29

30 31

32

33

34 35

36

is formed from hollow fibre membranes which are wound 1 round to form a spiral. The spiral may be either two 2 dimensional, that is forms a flat coil, or may be 3 three-dimensional in which case the spiral is wound 4 5 upwardly into a apex. In an alternative embodiment the filter is formed from 7 "U"-shaped hoops of hollow membrane fibres. Preferably 8 several hoops, for example over 10 hoops, especially 20 9 to 50 hoops, are present in each filter chamber. 10 11 In a yet further embodiment the filter is formed into 12 hoops as described above, but the upper portion of the 13 hoops are bent into an acute angle, thus forming an inverted "V" shape. The angle may conveniently be introduced into the membrane by spot application of heat which welds the sides of the membrane together at the point where heat is applied, thus forming a hinge. In another embodiment, hollow fibre membranes each having a "blind" or closed end may be used. arrangement the blind ends may be exposed to the sample. For example, multiple short lengths of hollow fibres may be used, the blind end of each fibre being exposed to the sample whilst the open ends are potted into the plug and communicate with the filtrate chamber. Conveniently the blind ended fibres diverge away from a central portion of the plug into which the fibres have been potted. In an alternative embodiment using blind ended hollow fibre membranes, short lengths of the fibres are cut. and joined together at the apex (thus closing their lumens at that point) into a "teepee"-like shape. apex is exposed to the sample whilst the opposite ends of the membrane fibres pass through the plug and are



exposed on the opposite side thereof.

2 The filter of the present invention is located within 3 the filter chamber by means of the plug. The plug forms a tight fit with the inside surfaces of the filter chamber. It is essential that the plug and filter chamber walls form a seal, as the sample to be 7 filtered could otherwise pass through the gap between 8 the plug and the interior of the filter chamber. 9 filter itself is at least partially embedded within the 10 11 plug. 12 The plug will normally be formed from adhesive, usually 13 cured adhesive. Any material capable of forming a seal 14 with the membrane fibres and the filter chamber may be 15 16 used. 17 The adhesive used to form the filter plug of the 18 present invention may be any adhesive material which 19 does not react with the membrane or filter chamber 20 materials in a deleterious manner. Preferably the 21 adhesive material is quick setting, ie cures within 22 minutes, for example under 5 minutes. For certain 23 embodiments adhesive material which cures upon exposure 24 to light is particularly desirable. For example in 25 26 medical applications it may be preferred to use adhesive which cures upon exposure to blue light, 27 especially UV light. 28 29 Suitable adhesive material is commercially available 30 and mention may be made of polymers available from 31 Ablestick Ltd (for example LCM 32, LCM 34 and LCM 35), 32 Bostick Ltd or Dynax Inc (eg 191M) as being suitable UV 33 34 curing adhesives. 35 In the invention it is essential that one portion of 36



96/17673

34

35 36

the membrane is exposed to the unfiltered sample and 1 that another portion of the membrane communicates with 2 the collection chamber. For example, where the filter 3 is a two-dimensional spiral, the spiral will be fixed into the plane of the plug, with one surface facing the 5 filter chamber and the other surface facing the б 7 collection chamber. In this embodiment the filtrate must undergo two filtering operations, firstly across 8 9 the membrane into the lumen of the hollow fibre and . secondly from the lumen to the collection chamber side . 10 of the filter. Where the filter is in a hoop-like or 11 inverted "V" configuration, the ends of the hoop or 12 inverted "V" are located within the plug and pass 13 through the plug so that the lumen of the cut ends of 14 the hollow fibre membrane are exposed to the collection 15 chamber side of the filter apparatus. 16 In this embodiment the sample passes through the hoop or 17 inverted "V" part of the filter into the lumen thereof 18 19 and runs down to the ends of the lumen and out into the 20 collection chamber. 21 Viewed from one aspect the present invention comprises 22 23 a filtration device having at least one filter chamber containing a hollow fibre filter potted into a solid 24 plug. The surface area of the filter is desirably 25 26 greater than the cross-sectional area of the filter 27 chamber floor. 28 Conveniently the filtration apparatus comprises 29 30 multiple filter chambers, each having an individual 31 filter. For example, the apparatus of the invention 32 - may be a tray of any suitable material (for example plastics), having multiple wells therein (eg. 24, 48 or 33 96 wells), each well being capable of being a filter chamber. Alternatively, the apparatus may be in the form of a pipette or a pipette tip. The filter unit is



PCT/GB95/02834 WO 96/17673

sealed into the lumen of the pipette or pipette tip 1 creating an internal volume within the pipette or tip 2 which may only be accessed by the sample passing across 3 th filter. The internal volume so formed acts as the 4 filtration chamber. Following filtration of the 5 sample, the pipette tip containing the filter unit may 6 be removed, for example may be snapped off, and the 7 filtered sample may be simply expelled from the 8 pipette. Alternatively, filtrate may be expelled via 9 an alternative opening in the device or may be expelled 10 back through the original opening, passing through the 11 The pipette embodiment may also be used filter again. 12 to detect the presence of a component with the sample, 13 the component binds to the filter and is then detected. 14 The filtrate is a by-product in this embodiment. Again 15 the portion of the pipette or tip containing the filter 16 and the component of interest may be removable as 17 described above, facilitating measurement, detection or 18 further reaction thereof. 19 20

21

22

23

24

For convenience, the filter chamber and, optionally, the plug as well are transparent or translucent being formed from optically clear materials to enable monitoring of filtration and/or the output from any assay that can be measured by optical means.

25 26 27

The present invention also provides a process for separating a sample by filtration, in which the sample is passed through a filter as described above.

29 30

31

32

33

34

35

36

28

The membrane material may be any suitable membrane, and selection of the membrane type will depend upon the filtering process in question. Examples of suitable membrane materials include polysulfone, cellulose, cellulose diacetate and/or polypropylene. Nylon filter membranes, cellulose nitrate, polytetrafluoroethylene



(PTFE), polyvinylidene difluoride (PVDF) and glass 2 fibres can also be used. 3 A wide variety of such membranes are commercially 4 5 available and can be bought with a range of pore sizes so that selection of the filter to suit the sample can 7 be made. Ω 9 The membrane is in the form of a hollow fibre and 10 desirably the internal diameter of the hollow fibre is small, for example is under 2mm, especially is under 11 12 The internal diameter of the hollow fibre may be 13 500μm or less, for example 300μm or less. 14 15 To produce the filters according to the present invention a bundle of hollow fibre membranes are taken. 16 17 The bundle may contain any convenient number of 18 membrane fibres, but normally will contain from 5 to 50 19 membrane fibres, for example 10 to 20 membrane fibres. For example, to produce the "teepee" type of 20 21 arrangement the bundle of membrane fibres is welded by 22 a spot application of heat at intervals along the 23 membrane bundle. Approximately equi-distant from two 24 welds an adhesive plug is formed using adhesive, 25 preferably quick-setting adhesive, and mention may be 26 made of LCM 32 and LCM 35 of Ablestick Ltd. 27 Optionally the plug shape is predetermined either by 28 fitting a collar around the membrane bundle (and the 29 collar may be fitted either before or after the welding 30 operation has taken place) or by placing the membrane 31 bundle into a suitable mould and injecting the adhesive 32 to fill the available space. Once the adhesive is set 33 the plug is chopped in half transversely, for example 34 using a scalpel, razor blade or guillotine. 35 plugs are formed, into each of which the lumen of each 36 membrane fibre is flush with the newly created plug



WO 96/17673 PCT/GB95/02834

10

surface. At this stage in the procedure there is 1 obtained a shorten d membrane bundle having an adhesive 2 3 plug at ach end, and approximately in the centre thereof a point where the m mbrane fibres are welded The weld is then cut in half and two filters together. 5 according to the present invention are formed. 6 weld may be cut by use of a scalpel, quillotine or 7 razor blade. 8 It is also possible to modify the process so that the 10 welds are cut before the adhesive plug is cut in half 11 12 or even before formation of the adhesive plug. Each 13 filter formed by this process is then inserted into a 14 filter chamber by press-fitting the plug into the 15 aperture of the filter chamber. The adhesive plug and 16 the inside walls of the filter chamber form a tight 17 fit. 18 To form the hoop or "V" shaped filter the membrane 19 20 fibre bundle is first bent into a "U" shape, for 21 example bending the membrane bundle around a suitable 22 forming rod. An adhesive plug is then formed in a 23 similar manner as that described above, namely either 24 by insertion of the "U" shaped membrane bundle into a 25 suitable mould or by fitting a collar around the bundle 26 and then filling the collar or the mould with adhesive, 27 followed by curing, if necessary. The inverted "V" 28 shaped filter is formed from the hoop-shaped filter and 29 comprises the additional step of spot welding the hoop 30 at the apex so that a sharp corner is formed where the heat is applied. The inverted "V" shaped filter is 31 32 preferable in some circumstances since this 33 configuration may be easier to insert into the filter 34 chamber. 35

Alternatively, the hoop or inverted "V"-shaped filter

36



33

34

35

36

tip. In more detail:

1 may be produced by forming a shortened membrane bundle with an adhesive plug at each end as described above. 2 The short ned membrane bundle may then be formed into a 3 "U"-shape and the plugs affixed together side-by-side (for example by glueing) to give the required plug 5 shape. For the "V"-shaped embodiment application of 6 . 7 the heat to create the acute angle required may occur 8 either before or after the plug ends are glued together 9 to form the final plug required. 10 11 For the purpose of simple "dead-end" filtration the 12 filtration chamber will normally be located 13 substantially vertically. However, it is equally 14 possible for the filters to be used in a filtration 15 device which is arranged away from the vertical. 16 such an embodiment it may be necessary for pressure 17 means (such as a pump, especially a suction pump) to be 18 provided in order to filter the sample. 19 20 The filters of the present invention are equally 21 applicable for a "cross-flow" filtration apparatus and 22 still provide the advantages obtained by presenting a 23 relatively large surface area in a small filtration 24 chamber. 25 26 The filters of the present application are also of use 27 as a matrix on which to present a test substance for 28 assay. The relatively large surface area of the filter 29 enables a concentration of the test substance and thus 30 amplification of the test result is possible. 31 The present invention will now be described with reference to the drawings in which Figs. 1B to 7 show configurations of the membrane within the unit and Figs. 8 and 9 show one use of the unit in a pipette



Fig. 1A illustrates a conventional filtration tray 1 1 2 with well 2 and filter paper 3 as discussed above. 3 Figure 1B illustrates a single well in a portion of a 4 5 filtration apparatus in the form of a filtration tray having a filter of the present invention embedded in a 6 7 plug as described above. Figure 1B illustrates a cross-section of a portion of a filtration tray 1. 8 9 filter chamber 2 is illustrated and contains at the bottom thereof a filter unit 10 according to the 10 11 invention. Unit 10 consists of a solid plug 6 which forms a tight fit with the internal walls of the lumen 12 of the filter chamber 2. The plug 6 may be located in 13 14 the filter chamber 2 either by virtue of the resilient

nature of the plug 6 itself or by application of

adhesive between the plug 6 and the inside walls of the filter chamber 2. A hollow fibre membrane is shown in

18 the form of a hoop 4, the ends 5,5' of the hoop 4 being

held within plug 6. For simplicity only one hoop 4 is illustrated in Figure 1B although generally several

21 such hoops which may be the same, similar or of varying

sizes may be present each having their ends 5,5'

23 located in plug 6.

24 25

26

27

28

29

30 31

32 33

34

35

36

Figure 2A shows a schematic cross-section of a filter unit 10 according to the present invention. The unit 10 illustrated in Figure 2A has a membrane in a spiral configuration being either the two-dimensional coil or alternatively the lower coil of the three-dimensional spiral as shown in Figure 5. As illustrated in Figure 2A the plug 6 forms a tight fit with the internal walls 1,1' of the filter chamber 2. There is no gap between the sides 1,1' of the filter chamber 2 and plug 6. Embedded within the plug 6 is a membrane in the form of a hollow fibre. The upper surface 8 of the hollow fibre is exposed to the untreated sample which is added



into filter chamber 2. The lower surface 9 of the hollow fibre is exposed from plug 6 and permits the 2 3 filtrate to pass through into the collection or 4 filtrat chamber (not shown). 5 6 In use a liquid sample is inserted into filter chamber 7 Selective filtration of the sample occurs with the 8 filtrate passing through the upper surface 8 of the membrane fibre into the lumen 7 thereof. From lumen 7 9 10 the filtrate passes through the lower surface 9 of the hollow fibre into a collection or filtrate chamber (not 11 12 shown). Optionally a downwardly pressure is applied 13 either by a positive pressure onto the sample in the 14 filter chamber 2 or a negative pressure from the 15 filtrate collection side, to draw the filtrate through 16 . the filter unit 10. 17 Figure 2B illustrates an embodiment of the invention 18 19 when the filter unit 10 has a membrane arranged in the 20 configuration of a hoop. Again, plug 6 forms a tight 21 fit with the internal walls 1,1' of filter chamber 2. 22 In this embodiment the hollow fibre membrane is 23 positioned with its free ends 5,5' exposed on the 24 filtrate collection side of plug 6, with the main body 25 of the membrane being present in the filter chamber 2. 26 The dotted lines extend the hollow fibre upwardly into 27 the filter chamber 2 but are not drawn to scale. 28 also possible that instead of the filter being bent 29 into a hoop as illustrated in Figure 28 the two strands 30 come together into an apex in which the sides of the 31 fibre are spot welded together through the application 32 of heat or adhesive. In use the liquid sample is 33 placed into a filter chamber 2 and separation of the 34 sample takes place as components of the sample migrate 35 through the surface of the membrane into the lumen 7 of 36 the hollow fibre. The filtrate present in lumen 7



1 travels down the hollow fibre membrane and is collected 2 from the free ends 5,5' beneath which is located a 3 collection vessel (not shown). 5 In Figure 2B the free ends 5,5' of the hollow fibre 6 membrane are shown passing through plug 6 and 7 protruding therefrom. It is also possible for these ends 5,5' to be flush with the lower surface of plug 6 8 9 and indeed for ease of manufacture of the filter unit 10 this configuration may be preferable. 10 11 12 Figure 3A is a top view of a membrane for a filter unit 13 according to the present invention, in the form of a 14 two-dimensional spiral. Figure 3B illustrates the side 15 view of the same membrane. The spirally arranged 16 membrane shown in Figures 3A and 3B is embedded within 17 a plug (not shown) to form a filter unit. 18 19 Figure 4 is a perspective view of a membrane for a 20 filter unit according to a further embodiment of the 21 present invention, the membrane being formed of short 22 strands of membrane fibres affixed together at the apex 23 into a "teepee" arrangement. The lower ends of each 24 membrane strand are embedded within a plug (not shown) 25 so that the lumen of each strand is free to discharge 26 filtrate into a collection chamber (not shown). 27 28 Figure 5A is a side view of a further embodiment of a 29 membrane according to the present invention in the form of a three-dimensional spiral filter, with Figure 5B 30 31 illustrating the top view of the same membrane filter. 32 The lower portion of the spiral is embedded within a plug (not shown) so that the lower end of the filter is 33

35

34

36 Figure 6 is a schematic representation of a filter unit

exposed on the filtrate collection side of the plug.



WO 96/17673

36

10 according to the present invention having a plug 6 1 through which the hollow fibre membrane strands are 2 3 each formed in th shape of a hoop 4. Multiple hoops 4 are present, each having their ends passing through an 4 5 adhesive plug 6, the lumen of each membrane strand being exposed on the lower surface of the plug 6. In 6 7 Figure 6 four membrane fibre hoops 4 are illustrated for the purpose of simplicity but it is also possible 9 for many more hoops to be present in each plug 6, for 10 example up to 20 hoops. The hoops may either be of the 11 same or similar size as illustrated in Figure 6 or may 12 be of varying sizes, that is to say the height of the 13 hoop 4 may vary. Within one preferred embodiment each filter unit 10 is composed of sets of hoops 4, each 14 15 hoop 4 set being of different size. It is also 16 possible for the axis of each set of hoops to be 17 located in a different directions within the plug relative to each other. 18 19 20 Figure 7 illustrates schematically a further embodiment 21 of the invention in which the filter unit 10 is formed 22 from hollow fibre membranes in the configuration of an 23 inverted "V". Again, Figure 7 only shows four such 24 strands 4' for purposes of simplicity but it may be 25 possible to have far more strands present on each plug 26 6. 27 28 Figure 8B illustrates device 11 incorporating a filter 29 unit 10 according to the invention. The filter unit 10 30 is sealed into an interior lumen of device 11, here 31 illustrated as a disposable pipette tip. 32 unit 10 shown comprises plug 6 incorporating therein 33. hoops 4 of hollow fibre membrane. However, any 34 alternative filter unit 10 described above would also 35 be suitable for use in device 11.



- In us a liquid sampl enters through aperture 15 ĺ 2 3
- driven upwardly in the direction of the arrow by the
- suction pressure created within the pipette apparatus 4
- (shown generally in Fig. 8A). The filtrate passes 5
- through the filter unit 10 as previously described into 6
- the collection area 14. Optionally, components of the
- filtrate may be localised on the upper hydrophobic 7
- membrane 12 but normally hydrophobic membrane 12 is 8 9
- used to repel the liquid sample, which may for example of an aqueous nature.
- 10
- 11
- prevented from entry into chamber 16 and is instead 12 retained within collection area 14. 13 14
- In the pipette embodiment illustrated in Figure 8B a 15
- snap point 13 is shown which enables the lower portion 16 17
- 17 of the pipette tip to be detached from the upper
- portion 18. Portion 17 of the tip 11 may then be 18
- disposed of in situations where the components of 19
- interest are located on hydrophobic membrane 12 or 20
- where the filtrate sample of interest is retained 21
- within storage area 14 the filtered sample can be 22 23
- simply poured into a further vessel for easy handling and/or further processing.
- 24
- In a yet further embodiment the filter unit 10 may 25 26 27
- retain the component of interest on the hollow fibre membrane strands.
- 28
- The filtrate in this embodiment may be of no interest and, following removal of portion 17 29
- by cleavage at snap point 13, the filtrate collected in
- 30 storage area 14 may be thrown away and the filter unit
- carefully washed to remove the bound sample of interest 31
- 32 located on the hollow fibre membrane. 33
- 34 Figure 8C illustrates an alternative device 11 also 35 36
- containing a filter unit 10 as described above in
- Figure 8B. Hydrophobic membrane 12 is also

1 illustrat d.

2

- The device shown in Figure 8C comprises a non-return 3 4
- valve 19 immediately above filter unit 10. 5
- filtration of a liquid sample causes the filtrate to 6
- collect in storage area 14 which is bounded by 7
- hydrophobic membrane 12 and the non-return valve 19
- immediately above filter unit 10. To expel the 8 9
- filtrate, positive pressure is exerted by means of the 10
- pipette apparatus illustrated in Figure 8A, and this 11
- causes the filtrate to be expelled through aperture 22 12
- of arm 20 which optionally contains a non-return valve
- 13

14

- 15 Figure 9 illustrates an alternative device 11 16
- containing a filter unit 10 according to the invention. 17
- Filter unit 10 comprises plug 6 and hoops 4 of hollow
- membrane fibres. Only 3 hoops are illustrated in the 18 19
- unit 10 as shown for the purposes of simplicity. The 20
- number and size of the hoops 4 may vary as required. 21
- Likewise, it is possible to alter the configuration of . 22
- the membranes within the filter unit as required.
- 23.
- device 11 as illustrated a primary membrane 23 covers 24 the aperture of the pipette tip. The primary membrane 25
- 26
- 23 serves to exclude course matter from the liquid
- sample admitted into the lumen of the pipette tip, thus 27 28
- avoiding clogging of the hollow fibre membranes by
- large particulate matter. In the device illustrated 29
- hydrophobic membrane 12 is located immediately above 30
- snap point 13 and the device operates in a similar 31
- manner to that described in Figure 8B.

CLAIMS	

A filter unit comprising a hollow fibre membrane
 fixed into a solid plug and able to communicate
 with each side thereof.

6

7 2. A filter unit as claimed in Claim 1 wherein the 8 membrane has a greater filtration surface area 9 than the cross-sectional area of the plug.

10

3. A filter unit as claimed in either one of Claims 1
and 2 wherein said membrane is non-planar.

13

14 4. A filter unit as claimed in any one of Claims 1 to 15 3 wherein said plug is adapted to form a tight fit 16 with the internal walls of a filter chamber.

17

18 5. A filter unit as claimed in any one of Claims 1 to 19 4 wherein said plug is transparent or translucent.

20

21 6. A filter unit as claimed in any one of Claims 1 to 22 5 wherein said plug is formed from adhesive.

23

A filter unit as claimed in Claim 6 wherein said
 plug is formed from UV or light curable adhesive.

26

27 8. A filter unit as claimed in any one of Claims 1 to
28 7 wherein said membrane is selected from
29 polysulphone, cellulose, cellulose diacetate,
30 polypropylene, nylon, cellulose nitrate,
31 polytetrafluoroethylene, polyvinylidene difluoride

32 33

34
9. A filter unit as claimed in any one of Claims 1 to
35
8 wherein the internal diameter of the hollow
36
fiber membrane is less than 2mm.

and/or glass fibres.





		**
1	10	. A filter unit as claimed in Claim 9 wherein the
2		int rnal diameter of the hollow fibre membrane is
3		500μm or less.
4		
5	11.	. A filter unit as claimed in any one of Claims 1 to
6		10 having a single hollow fibre membrane wound
7		into a spiral configuration.
8		
9	12.	A filter unit as claimed in any one of Claims 1 to
10		11 having a hoop shaped hollow fibre membrane,
11		both ends of which pass through the plug and are
12		exposed on one side thereof.
13		
14	13.	A filter unit as claimed in Claim 12 having 20 or
15		more such hoops located in said plug.
16		
17	14.	A filter unit as claimed in any one of Claims 1 to
18		10 having a blind ended length of hollow fibre
19		membrane, the blind end being exposed to the
20		sample.
21		
22	15.	A filter unit as claimed in Claim 14 wherein the
23		blind ends diverge from each other.
24		
25	16.	A filter unit as claimed in any one of Claims 1 to
26		15 having a treated or coated membrane.
27		
28	17.	A device having a filter unit as claimed in any
29		one of Claims 1 to 16 located therein.
10	1.0	
1	18.	A device as claimed in Claim 17 wherein the
2		portion of said device containing said unit is
3		separable from the remainder of the device.

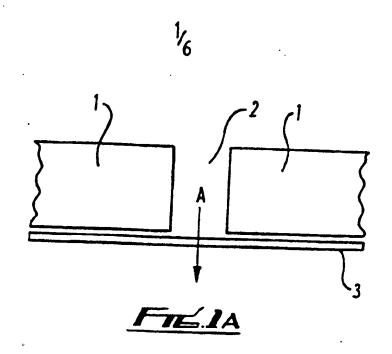
19. A device as claimed in either one of Claims 17 and 18 having a non-return valve located between said

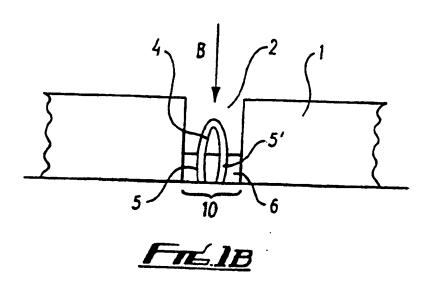


1		filter unit and a collection chamber for the
2		filtrate.
3		·.
4	20.	A device as claimed in any one of Claims 17 to 19
5		having an aperture dedicated to expelling the
6		filtrate.
7		
8	21.	A device as claimed in any one of Claims 17 to 20
9		having multiple filter units according to any one
10		of Claims 1 to 16.
11		
12	22.	A process of forming a filter unit as claimed in
13		any one of Claims 1 to 16, said process comprising
14		the following steps:
15		
16		<ul> <li>a. obtaining a membrane in the form of hollow</li> </ul>
17		fibre(s), optionally cutting said fibre(s) to
18		the required size and/or conforming said
19		fibre(s) to the required shape;
20		
21		<ul> <li>forming a solid plug at a required location</li> </ul>
22		around said fibre(s); and
23		
24		<ul> <li>c. optionally trimming the ends of the fibre(s).</li> </ul>
25		
26	23.	A process as claimed in Claim 22 wherein the
27		hollow fibre(s) are treated to produce a blind end
28		at one end thereof.
29		
30	24.	A process of filtering a sample, said process
31		comprising passing said sample through a filter
32		unit as claimed in any one of Claims 1 to 16
33		
34		

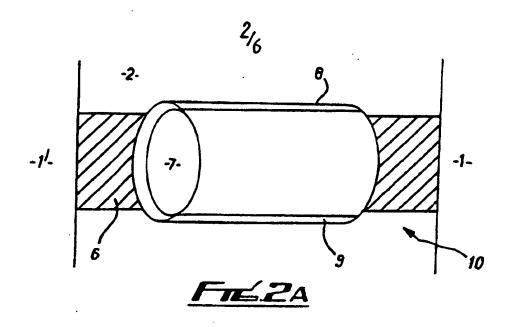


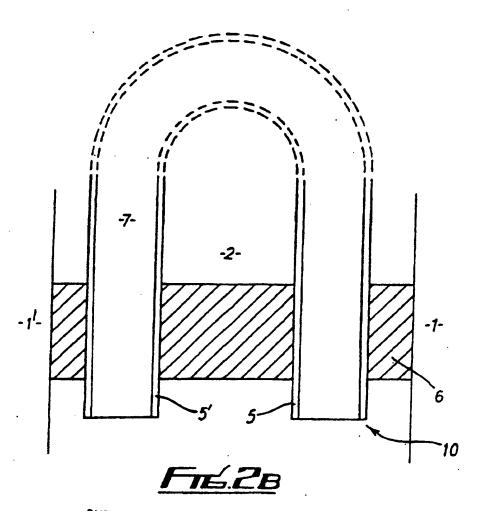
WO 96/17673





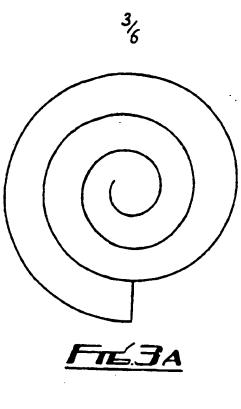
WO 96/17673 PCT/GB95/02834

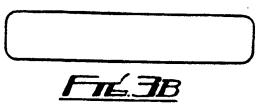


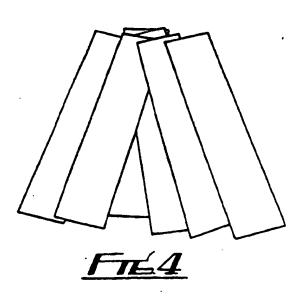


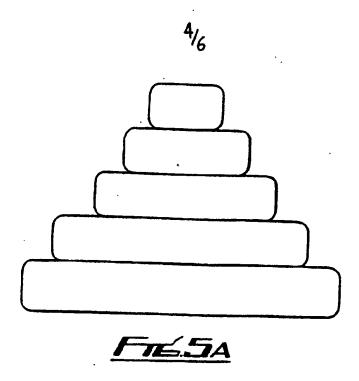


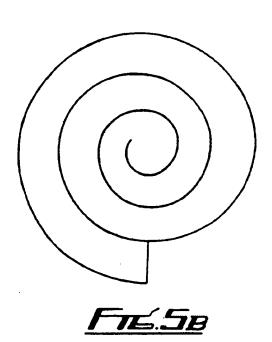






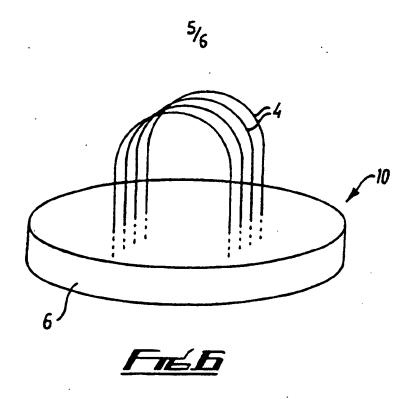


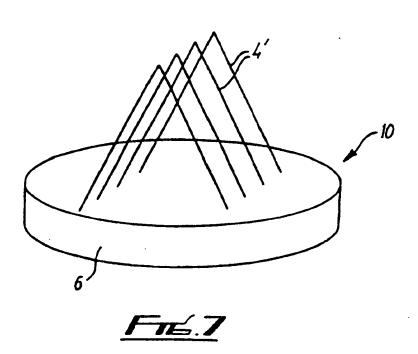






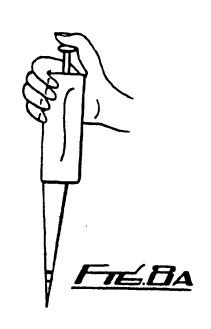
WO 96/17673



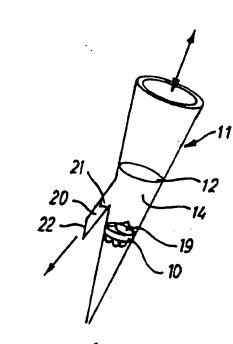




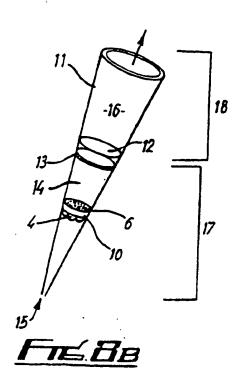


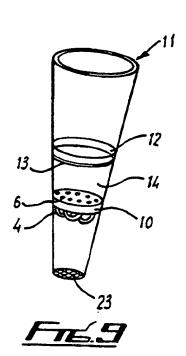


6/6



FreBC





PCT/GB 95/02834

A. CLASSIFICATION F SUBJECT MATTER IPC 6 B01D63/02 B01D61/18 B01L3/00 G01N1/40 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC 6 BOID BOIL GOIN Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. X PATENT ABSTRACTS OF JAPAN 1-4,6,8, vol. 12 no. 344 (C-528) ,16 September 1988 9,12-14, & JP,A,63 104615 (ASAHI CHEM IND CO LTD) 17,20, 10 May 1988. 22-24 see abstract A 5,7,10, 15, 16, 21 & DATABASE WPI Section Ch. Week 8824 Derwent Publications Ltd., London, GB; Class A88, AN 88-165566 see abstract X GB,A,2 173 711 (TOYO SODA MFG CO LTD) 22 1-4,6,8, October 1986 9,14,17, 24 see the whole document -/--X Further documents are listed in the continuation of box C. Patent family members are listed in annex. Special categories of cited documents: ater document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone filing date \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such docudocument referring to an oral disclosure, use, exhibition or other mean ments, such combination being obvious to a person stilled in the art. document published prior to the international filing date but later than the priority date claimed "A" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report. 26-04-1996 10 April 1996 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Riprojit Td. (+31-70) 340-2040, Tx. 31 651 epo nl. Hoornaert, P Fax: (+31-70) 340-3016

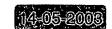
Form PCT/ISA/210 (second sheet) (July 1972)

1

luter mel Application No PCT/GB 95/02834

Category *	nnon) D CUMENTS CONSIDERED TO BE RELEVANT  Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.
	Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.
X	EP,A,0 315 252 (AKZO NV) 10 May 1989		1-4,6, 8-11,14, 17,18,24
	see the whole document		
X	US,A,5 228 992 (DEGEN) 20 July 1993		1-4,6,8, 9,12,13, 22
	see the whole document		
X	FR,A.2 393 662 (CORDIS DOW CORP) 5 January 1979 see claims 1,2,6,7; figures 2-4 see page 7, line 28 - page 8, line 1 see page 10, line 23 - page 11, line 18		22
A	PATENT ABSTRACTS OF JAPAN vol. 17 no. 258 (P-1540) .20 May 1993 & JP,A,05 002021 (KONICA CORP) 8 January 1993, see abstract & DATABASE WPI Section Ch, Week 9306 Derwent Publications Ltd., London, GB; Class B04, AN 93-049943 see abstract		1-4,8,17
			·
	· .		
ļ		•	

1



page 2 of 2

#### INTERNATIONAL SEARCH REPORT

aformation on patent family members

PCT/GB 95/02834

Patent document cited in search report	Publication date	Patent family member(s)		Publication date	
GB-A-2173711	22-10-86	JP-A-	61209010	17-09-86	
		DE-A-	3608062	<b>09-10-86</b>	
		US-A-	4690754	01-09-87	
EP-A-315252	10-05-89	CA-A-	1320142	13-07-93	
		DE-D-	3852375	19-01-95	
		DE-T-	3852375	24-05-95	
		ES-T-	2065332	16-02-95	
		JP-A-	1151909	14-06-89	
		US-A-	4995967	26-02-91	
US-A-5228992	20-07-93	EP-A-	0559149	08-09-93	
		JP-A-	5337342	21-12-93	
		US-A-	5445771	29-08-95	
FR-A-2393662	05-01-79	US-A-	4138460	06-02-79	
		AT-B-	375837	10-09-84	
		AU-B-	511941	11-09-80	
		AU-B-	3656378	06-12-79	
		BE-A-	868996	11-12-78	
		· CA-A-	1106124	04-08-81	
		CH-A-	625128	15-09-81	
-		DE-A-	2824934	21-12-78	
		GB-A-	1600448	14-10-81	
		JP-C-	1311545	11-04-86	
		JP-A-	54006922	19-01-79	
	•	JP-B-	60035448	14-08-85	
		NL-A-	7805968	12-12-78	
		SE-B-	446305	01-09-86	
		SE-A-	7806713	11-12-78	

Form PCT/ISA/210 (petent family monest) (July 1992)



